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AP2003-173770 JUN 2006

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of :  
Shigetaka KASUGA et al. : **Mail Stop: PCT**  
Serial No. NEW : Attorney Docket No. 2006\_0864A  
Filed June 29, 2006 :

SOLID STATE IMAGE PICKUP DEVICE AND  
CAMERA USING THE SAME  
[Corresponding to PCT/JP2005/000187  
Filed January 11, 2005]

**INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Pursuant to the provisions of 37 CFR 1.56, 1.97 and 1.98, Applicants request consideration of the references listed on attached form PTO-1449 and any additional information identified below in paragraph 3. A legible copy of each reference listed on the Form PTO-1449 is enclosed, except a copy is not provided for:

- ☒ each U.S. Patent and U.S. Patent application publication;
- ☐ each reference previously cited in the international application  
PCT/\_\_\_\_\_; and/or
- ☐ each reference previously cited in prior parent application Serial No.  
\_\_\_\_\_.

- 1a. ☒ This Information Disclosure Statement is submitted:  
  
within three months of the filing date (or of entry into the National Stage) of the  
above-entitled application, **or**

ATTACHMENT D

before the mailing of a first Office Action on the merits or the mailing of a first Office Action after the filing of an RCE,

**and thus no certification and/or fee is required.**

1b. ☐ This Information Disclosure Statement is submitted

after the events of above paragraph 1a and prior to the mailing date of a final Office Action or a Notice of Allowance or an action which otherwise closes prosecution in the application, and thus:

(1) ☐ the certification of paragraph 2 below is provided, **or**

(2) ☐ the fee of \$180.00 specified in 37 CFR 1.17(p) is enclosed.

1c. ☐ This Information Disclosure Statement is submitted:

after the mailing date of a final Office Action or Notice of Allowance or action which otherwise closes prosecution in the application, and prior to payment of the issue fee, and thus:

**the certification of paragraph 2 below is provided, and**

**the fee of \$180.00 specified in 37 CFR 1.17(p) is enclosed.**

2. It is hereby certified


a. ☐ that each item of information contained in this Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the Statement, or

b. ☐ that no item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application and, to the knowledge of the person signing the certification after making reasonable inquiry, was known to any individual designated in §1.56(c) more than three months prior to the filing of the Statement.

3. ☐ Consideration of the following list of additional information (including any copending or abandoned U.S. application, prior uses and/or sales, etc.) is requested.
4. For each non-English language reference listed on the attached form PTO-1449, reference is made to:
- a. ☒ a full or partial English language translation submitted herewith,
  - b. ☒ the International Search Report (in the English language) submitted herewith,
  - c. ☒ the concise explanation contained in the specification of the present application at pages 4 and 5,
  - d. ☒ the concise explanation set forth in the attached English language abstract,
  - e. ☒ the concise explanation set forth below or on a separate sheet attached to the reference:
- JP 2001-251555 corresponds to U.S. 2001/0033337;  
JP 4-290081 corresponds to U.S. 5,793,423;  
JP 9-246516 corresponds to U.S. 5,780,884; and  
JP 2003-163845 corresponds to U.S. 2003/0117676.
5. ☐ A foreign patent office search report citing one or more of the references is enclosed.

Respectfully submitted,

Shigetaka KASUGA et al.

By 

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June 29, 2006

Sheet 1 of 1		INFORMATION DISCLOSURE STATEMENT						<div style="border: 1px solid black; padding: 2px; display: inline-block;">         10/29/2006          JUN 2006       </div>	
FORM PTO 1449 (modified)  U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE  LIST OF REFERENCES CITED BY APPLICANT(S) (Use several sheets if necessary )  Date Submitted to PTO: June 29, 2006				ATTY DOCKET NO. 2006_0864A		SERIAL NO. NEW		<div style="border: 1px solid black; padding: 2px; display: inline-block;">         10/29/2006       </div>	
				APPLICANT Shigetaka KASUGA et al.					
				FILING DATE June 29, 2006			GROUP		
U.S. PATENT DOCUMENTS									
*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE		
	AA	2001/0033337	10/2001	Sakuragi					
	AB	5,793,423	8/1998	Hamasaki					
	AC	5,780,884	7/1998	Kumagai et al.					
	AD	2003/0117676	6/2003	Nakamura et al.					
	AE								
FOREIGN PATENT DOCUMENTS									
		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO		
	AF	2002-152595	5/2002	JP			Abstract & Partial Translation		
	AG	62-185471	8/1987	JP			Abstract		
	AH	2001/251555	9/2001	JP			Abstract		
	AI	11-196333	7/1999	JP			Abstract & Partial Translation		
	AJ	4-290081	10/1992	JP			Abstract		
	AK	9-246516	9/1997	JP			Abstract		
	AL	11-237665	8/1999	JP			Abstract & Partial Translation		
	AM	6-217203	8/1994	JP			Abstract & Partial Translation		
	AN	11-266399	9/1999	JP			Abstract & Partial Translation		
	AO	2003-163845	6/2003	JP			Abstract		
	AP	2003-046865	2/2003	JP			Abstract		
OTHER DOCUMENT(S) (Including Author, Title, Date, Pertinent Pages, Etc.)									
	AQ								
EXAMINER					DATE CONSIDERED				

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include cop. this form with next communication to applicant.